

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 08/17/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/812,047	03/19/2001	Travis J. Parry	10004537-1	9347
7590 08/17/2004			EXAMINER	
HEWLETT-PACKARD COMPANY			DADA, BEEMNET W	
Intellectuall Property Administration P.O. Box 272400		ART UNIT	PAPER NUMBER	
For Collins, CO 80527-2400			2135	

Please find below and/or attached an Office communication concerning this application or proceeding.

W

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). - Status - 1) Responsive to communication(s) filed on 19 March 2001. - 2a) This action is FINAL. - 2b) This action is non-final.	O			
Examiner Beemnet W Dada The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) ■ Responsive to communication(s) filed on 19 March 2001. - 2a ■ This action is FINAL. 2b ■ This action is non-final.				
Beemnet W Dada The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 19 March 2001. 2a) This action is FINAL. 2b) This action is non-final.				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 19 March 2001. - This action is FINAL. 2b) This action is non-final.				
Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 19 March 2001. 2a) This action is FINAL. 2b) This action is non-final.				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 19 March 2001. 2a) This action is FINAL. 2b) This action is non-final.				
Status 1) ⊠ Responsive to communication(s) filed on <u>19 March 2001</u> . 2a) ☐ This action is FINAL . 2b) ⊠ This action is non-final.	on.			
2a) ☐ This action is FINAL . 2b) ☑ This action is non-final.				
2a) ☐ This action is FINAL . 2b) ☑ This action is non-final.				
Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims				
 4) Claim(s) 1-20 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-20 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. 				
Application Papers				
9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.12211) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.	I(d).			
Priority under 35 U.S.C. § 119				
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 				
Attach mont/o)				
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 2. 4) Interview Summary (PTO-413) Paper No(s)/Mail Date 5) Notice of Informal Patent Application (PTO-152) 6) Other:				

Art Unit: 2135

DETAILED ACTION

1. Claims 1-20 have been examined.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-5, 7-18 and 20 are rejected under 35 U.S.C. 102(e) as being anticipated by Kurachi (US Patent No. 6,181,436 B1).
- 4. As per claim 1, Kurachi teaches a method for sending a print job to a pre-selected recipient comprising:

encoding a data stream received in a first peripheral device [column 4, lines 54-61 and column 14, lines 37-55]; and

transmitting said encoded data stream from said first peripheral device to a second peripheral device [column 4, lines 54-62 and column 15, lines 60-67].

5. As per claim 7, Kurachi teaches a method for transporting print jobs comprising:

Art Unit: 2135

initiating a print job on a host computer (a client computer to a network printer, see figure 1) [column 4, lines 15-17];

encrypting said print job [column 4, lines 54-61 and column 14, lines 37-55]; and transmitting said encrypted print job from a first peripheral device to a second peripheral device [column 4, lines 54-62 and column 15, lines 60-67].

As per claim 11, Kurachi teaches a method for providing a secure print job comprising: initiating a print job on a host computer (a client computer to a network printer, see figure
 [column 4, lines 15-17];

transmitting said print job to a first peripheral device [column 4, lines 54-61]; and thereafter encrypting said print job [column 4, lines 54-61 and column 14, lines 37-55].

7. As per claim 14, Kurachi teaches a printing system comprising:

a processor for receiving a data stream through a network [figure 9, unit 303 and column 14, lines 11-25];

an encryption module for converting said data stream from plain text to cipher text [figure 9, unit 203k and column 14, lines 33-38]; and

a sender module for transmitting said cipher text through said network to a pre-selected recipient [figure 9, unit 3h and column 4, lines 54-62 and column 15, lines 60-67].

8. As per claims 2 and 15, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said data steam comprises text and graphics (print data and rough image meets the recitation) [column 7, lines 17-24]

Art Unit: 2135

- 9. As per claim 3, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said first peripheral device comprises a printer [figure 6, unit 3, and figure 7, unit 203].
- 10. As per claim 4, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said second peripheral device comprises a printer [figure 7, unit 201, 202, and figure 6, unit 9a, 9b].
- 11. As per claims 5 and 18, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said encoding a data stream comprises encryption said data stream using the pretty good privacy (PGP) technique (RSA system and DES method, see column 12-16 and column 16, lines 40-45).
- 12. As per claim 8, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said transmitting said encrypted print job comprises:

placing said encrypted print job into a sender module, and receiving an address for a recipient selected to receive said encrypted print job [column 17, lines 4-23].

13. As per claims 9 and 20, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said sender module is configured for converting said encrypted print job into a format useable by said sender module and attaching said encrypted print job to an electronic message for delivery to said recipient [column 4, lines 54-61, column 14, lines 37-55 and column 17, lines 4-23].

Application/Control Number: 09/812,047 Page 5

Art Unit: 2135

14. As per claim 10, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said first peripheral device and said second peripheral device are printers [figure 7, unit 201, 202, 203 and figure 6, units 3, 9a and 9b].

- 15. As per claim 12, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method further comprising transmitting said encrypted print job from said first peripheral device to a second peripheral device [column 4, lines 54-62 and column 15, lines 60-67].
- 16. As per claim 13, Kurachi teaches the method as applied above. Furthermore, Kurachi teaches the method wherein said first peripheral device comprises a manual input device for receiving instructions related to operation of said first peripheral device, said method further comprising receiving an instruction to encrypt said print job, said instruction being input at said manual input device [column 15, lines 14-31].
- 17. As per claim 16, Kurachi teaches the system as applied above. Furthermore, Kurachi teaches the system, wherein said processor, encryption module and said sender module are incorporated into single peripheral device [figure 9, unit 303].
- 18. As per claim 17, Kurachi teaches the system as applied above. Furthermore, Kurachi teaches the system, wherein said pre-selected recipient comprises a peripheral device [figure 7, unit 201, 202, and figure 6, unit 9a, 9b].

Claim Rejections - 35 USC § 103

Art Unit: 2135

19. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 20. Claims 6 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kurachi (US Patent No. 6,181,436 B1).
- 21. As per claims 6 and 19, Kurachi teaches the method/system as applied above. Kurachi fails to teach converting the cipher text into a PDF or TIFF file and transmit the file to a recipient. Official Notice is taken that converting data into a PDF or TIFF file and transmitting to a recipient is well known in the art. It would have been obvious to convert data in to PDF or TIFF file and transmit it to a recipient as these file formats represent document in a manner independent of the application software, hardware and operating system used to create it.

Conclusion

22. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO Form 892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Beemnet W Dada whose telephone number is (703) 305-8895. The examiner can normally be reached on Monday - Friday (8:30 am - 6:00 pm).

Art Unit: 2135

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Y Vu can be reached on (703) 305-4393. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Beemnet Dada

August 5, 2004

A.S. g.